



Steven D. Hamann

211 Grandview Dr., Ste. 235

Fort Mitchell, KY 41017

This Brochure Supplement provides information about Steven Hamann, Independent Investment Adviser Representative, which supplements the Focus On Success, LLC. Form ADV Part 2A Brochure. Please inform Steven Hamann at the contact information listed above if you did not receive Focus's Form ADV Part 2A Brochure or if you have any questions about the contents of this supplement. Additional information about Steven Hamann is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) and FINRA's website at [www.finra.org/brokercheck](http://www.finra.org/brokercheck)



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Focus On Success  
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(859) 331-2010

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### **Educational Background and Business Experience**

Steven D. Hamann

Date of Birth: 6/4/1968

Education:

- University of Illinois, Bachelor of Science in Business Administration

Examinations and Professional Designations:

- Series 7 - General Securities Representative
- Series 66 – Uniform Combined State Law Exam

Business Experience:

- Investment Adviser Representative, Focus On Success LLC - October 2009 to Present
- Registered Representative, Valmark Securities, Inc. - October 2009 to Present
- Investment Adviser Representative, Valmark Advisers, Inc. – October 2009 to Present
- Registered Representative, Mass Mutual – October 2006 to July 2009
- Financial Adviser, MML Investors Services Inc. – October 2006 to July 2009
- Airline Pilot, Delta Air Lines – October 1997 to Present

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### Disciplinary Information

Registered Investment Advisers are required to disclose material facts regarding any investment related legal or disciplinary events, including issues involving criminal or civil actions, findings resulting from administrative proceeding before the SEC, a self-regulatory body or any other federal or state regulatory authority that would be material to your evaluation of each supervised person providing investment advice.

Steve Hamann has no disciplinary record that would impact a client's evaluation of the practice.

### Other Business Activities

Steve Hamann is a Registered Representative of Valmark Securities, Inc., and an Independent Investment Adviser Representative of Valmark Advisers, Inc. Valmark Securities is a broker dealer under common ownership and management of Valmark Advisers, Inc.

Steve Hamann is also an insurance agent affiliated with Executive Insurance Agency, Inc., an agency under common ownership and management of Valmark Advisers, Inc.

Steve Hamann is also an investment adviser representative for Focus On Success, LLC , a State Registered Investment Advisor.

Steve Hamann is also an Airline Transport Pilot employed by Delta Air Lines.

### Additional Compensation

As a Registered Representative of an affiliated broker dealer, Valmark Securities, Inc., Steve Hamann will receive normal commissions associated with securities sales. As an Independent Investment Adviser Representative of Valmark Advisers, Inc., Steve Hamann will receive Advisory fees for the management of client assets.

Steve Hamann will receive insurance commissions surrounding the sales of insurance products sold through the affiliated insurance agency, Executive Insurance Agency, Inc.

As an investment adviser representative for Focus On Success, LLC Steve Hamann will receive financial planning fees. These fees are separate from fees earned for his work with Valmark Advisers, Inc

Steve Hamann receives salary compensation from Delta Air Lines.

### Supervision

The initial and ongoing reviews are under the direction of Chief Compliance Officer, Troy Crook, who can be reached at 859-331-2010.