



Mark J. Miller

211 Grandview Dr., Ste. 235

Fort Mitchell, KY 41017

This Brochure Supplement provides information about Mark Miller, Independent Investment Adviser Representative, which supplements the Focus On Success, LLC. Form ADV Part 2A Brochure. Please inform Mark Miller at the contact information listed above if you did not receive Focus's Form ADV Part 2A Brochure or if you have any questions about the contents of this supplement. Additional information about Mark Miller is available on the SEC's website at www.adviserinfo.sec.gov and FINRA's website at www.finra.org/brokercheck



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Educational Background and Business Experience

Mark Miller

Date of Birth: 9/22/1978

Education:

- Xavier University Cincinnati, Ohio
 - Bachelor of Science in Business Administration

Examinations and Professional Designations:

- Series 7 - General Securities Representative
- Series 63 - Uniform Securities Agent State Law Exam
- Certified Financial Planner®
- CDFFA® - Certified Divorce Financial Analyst

CFP® - Certified Financial Planner®

The CFP® designation is offered and recognized by the Certified Financial Planning Board of Standards, Inc. Candidates must have a bachelor's degree (or higher) from an accredited college or university and at least 3 years of full-time personal financial planning experience. Certificate holders must complete a CFP®-board registered program or hold one of the following designations: CPA, ChFC®, CLU®, CFA®, Ph.D. in business or economics, Doctor of Business Administration, or an Attorney's License. Certificates must also

successfully pass a 2-day comprehensive examination and complete 30 hours of continuing education credits every 2 years.

CDFA® - Certified Divorce Financial Analyst

The CDFA designation is issued by the Institute for Divorce Financial Analysts. In order to be considered for this designation, a professional must have at least two years' experience in the financial or legal industry. To obtain this designation, a professional must complete a series of four examinations based upon material learned from four self-study courses. Coursework outlines several key areas important in divorce proceedings, including the treatment of property during divorce, alimony and child support, and tax implications of property division. The entire program generally takes at least 4 months to complete.

Business Experience:

- Investment Adviser Representative, Focus On Success – December 2003 to Present
- Registered Representative, Valmark Securities Inc. – December 2003 to Present
- Investment Adviser Representative, Valmark Advisers, Inc. – December 2003 to Present
- Registered Representative, Lincoln Financial Adviser - September 2000 to December 2003
- Registered Rep, Lincoln National Life Insurance Company - September 2000 to December 2003

Disciplinary Information

Registered Investment Advisers are required to disclose material facts regarding any investment related legal or disciplinary events, including issues involving criminal or civil actions, findings resulting from administrative proceeding before the SEC, a self-regulatory body or any other federal or state regulatory authority that would be material to your evaluation of each supervised person providing investment advice.

Mark Miller has no disciplinary record that would impact a client's evaluation of the practice.

Other Business Activities

Mark Miller is a Registered Representative of Valmark Securities, Inc., a broker dealer under common ownership and management of Valmark Advisers, Inc.

Mark Miller is also an insurance agent affiliated with Executive Insurance Agency, Inc., an agency under common ownership and management of Valmark Advisers, Inc.

Mark Miller is also an investment adviser representative for Focus On Success, LLC , a State Registered Investment Advisor.

Mark Miller is the Treasurer of the Redwood Foundation and Chair of the Investment Committee for the Redwood Foundation.

Mark Miller is a member of the Xavier University Mentor Program.

Additional Compensation

As a Registered Representative of an affiliated broker dealer, Valmark Securities, Inc., Mark Miller will receive normal commissions associated with securities sales. As an Independent Investment Adviser Representative of Valmark Advisers, Inc., Mark Miller will receive Advisory fees for the management of client assets.

Part 2B of Form ADV – Brochure

As an investment adviser representative for Focus On Success, LLC Mark Miller will receive financial planning fees. These fees are separate from fees earned for his work with Valmark Advisers, Inc.

Mark Miller will receive insurance commissions surrounding the sales of insurance products sold through the affiliated insurance agency, Executive Insurance Agency, Inc.

Mark Miller does not receive any compensation as an Investment Committee Member of Redwood / Dorothy Wood Foundation or from the Xavier University Mentor Program.

Supervision

The initial and ongoing reviews are under the direction of Chief Compliance Officer, Troy Crook, who can be reached at 859-331-2010.