

## Elizabeth I. Corbett

# 211 Grandview Dr., Ste. 235

Fort Mitchell, KY 41017

This Brochure Supplement provides information about Elizabeth Corbett, Independent Investment Adviser Representative, which supplements the Focus On Success, LLC. Form ADV Part 2A Brochure. Please inform Elizabeth Corbett at the contact information listed above if you did not receive Focus's Form ADV Part 2A Brochure or if you have any questions about the contents of this supplement. Additional information about Elizabeth Corbett is available on the SEC's website at <a href="https://www.adviserinfo.sec.gov">www.adviserinfo.sec.gov</a> and FINRA's website at <a href="https://www.finra.org/brokercheck">www.finra.org/brokercheck</a>



Elizabeth I. Corbett

Focus On Success 211 Grandview Dr., Ste. 235 Fort Mitchell, KY 41017 (859) 331-2010

## **Educational Background and Business Experience**

Elizabeth I. Corbett

Date of Birth: 12/20/1984

## Education:

• University of Louisville, Bachelors of Science In Business Administration; Management, Minors in Justice Administration and Marketing

#### Examinations and Professional Designations:

- Series 7 General Securities Representative
- Series 66 Uniform Combined State Law Exam
- Health and Life Insurance
- CFP® Certified Financial Planner
- CLU® Chartered Life Underwirter
- ChFC® Chartered Financial Consultant

## CFP® - Certified Financial Planner®

The CFP® designation is offered and recognized by the Certified Financial Planning Board of Standards, Inc. Candidates must have a bachelor's degree (or higher) from an accredited college or university and at least 3 years of full-time personal financial planning experience. Certificate holders must complete a CFP®-board registered program or hold one of the following designations: CPA, ChFC®, CLU®, CFA®, Ph.D. in business or economics, Doctor of Business Administration, or an Attorney's License. Certificates must also successfully pass a 2-day comprehensive examination and complete 30 hours of continuing education credits every 2 years.

#### CLU® - Chartered Life Underwriter®

The CLU® designation is offered and recognized by the American College. Candidates must complete five core and three elective courses, and successfully pass a proctored exam for each course.

Additionally, 3 years of full-time business experience within the 5 years preceding the awarding of the designation is required. Every 2 years, 30 hours of continuing education credits are required.

#### ChFC® - Chartered Financial Consultant®

The ChFC® designation is issued by the American College. To earn the professional designation, candidates must complete six core courses and two elective courses and successfully pass a proctored final exam for each course. Candidates must also have at least 3 years of full-time business experience within the 5 years preceding being awarded the designation. Every 2 years, 30 hours of continuing education credits are required.

#### MSFS® - Master of Science in Financial Planning®

The Master of Science in Financial Services (MSFS) is an accredited degree program. MSFS provides you with the tools needed to analyze, plan and implement integrated financial and life strategies. The candidate must have a bachelor's degree from an accredited college or university. The candidate must also have relevant industry experience.

## Business Experience:

- Investment Adviser Representative, Focus On Success, LLC March 2013 to Present
- Registered Representative, Valmark Securities, Inc. March 2013 to Present
- Investment Adviser Representative, Valmark Advisers, Inc. March 2013 to Present
- Account Executive, Focus On Success 2009 to 2013

### **Disciplinary Information**

Registered Investment Advisers are required to disclose material facts regarding any investment related legal or disciplinary events, including issues involving criminal or civil actions, findings resulting from administrative proceeding before the SEC, a self-regulatory body or any other federal or state regulatory authority that would be material to your evaluation of each supervised person providing investment advice.

Elizabeth Corbett has no disciplinary record that would impact a client's evaluation of the practice.

#### **Other Business Activities**

Elizabeth Corbett is a Registered Representative of Valmark Securities, Inc., and an Independent Investment Adviser Representative of Valmark Advisers, Inc. Valmark Securities is a broker dealer under common ownership and management of ValMark Advisers, Inc.

Elizabeth Corbett is also an insurance agent affiliated with Executive Insurance Agency, Inc., an agency under common ownership and management of Valmark Advisers, Inc.

Elizabeth Corbett is also an Investment Adviser Representative for Focus On Success, LLC, a State Registered Investment Advisor.

Elizabeth Corbett is a member of the Metropolitan Club Board of Governors.

## **Additional Compensation**

As a Registered Representative of an affiliated broker dealer, Valmark Securities, Inc., Elizabeth Corbett will receive normal commissions associated with securities sales. As an Independent Investment Adviser Representative of Valmark Advisers, Inc., Elizabeth Corbett will receive advisory fees for the management of client assets.

Elizabeth Corbett will receive insurance commissions surrounding the sales of insurance products sold through the affiliated insurance agency, Executive Insurance Agency, Inc.

As an investment adviser representative for Focus On Success, LLC Elizabeth Corbett will receive financial planning fees. These fees are separate from fees earned for her work with Valmark Advisers, Inc

Elizabeth Corbett does not receive any compensation as a member of the Metropolitan Club Board of Governors.

## **Supervision**

The initial and ongoing reviews are under the direction of Chief Compliance Officer, Troy Crook, who can be reached at 859-331-2010.